

ARF002 BCA Status Risk

Risk Status Progress Report June 2019

Description of risk and impact

Under the requirements of the Building (Accreditation of Building Consent Authorities) Regulations 2006 the BCA must have a system for ensuring that it has enough employees and contractors to perform its building control functions, this includes having both the capability and capacity to ensure that its performance levels such as meeting 20 day statutory timeframes are met and all activities required under the Regulations are carried out in a timely manner. Failure to do so by the time of the next assessment will likely affect the IANZ accreditation status of the BCA.

A summary of the areas of non-compliance is provided within the IANZ Building Consent Authority accreditation assessment Report 28-30 May 2019. The three greatest areas of concern were:

- The BCA did not have an effective reporting system. It was unable to actively monitor and provide feedback on the performance of its critical functions.
- The BCA was not meeting the requirements of the Building Act. The BCA was not consistently issuing building consents within 20 working days. The BCA's records for compliance with the statutory clock did not include building consents where a decision whether to issue a CCC at 24 months was required. Note that the BCA was reported to have a large number of these consents over 20 working days. The BCA was not actively monitoring the amount of time between booking an inspection and the availability of the next available inspection slot (in that area).
- The Technical and Management Manuals did not fully reflect the requirements of the MBIE guidance and checklists.

Existing Treatments

On the ARF agenda is a separate treatment progress report.

High level treatment plan:

- Comprehensive action plan by regulation with milestone dates to achieve compliance
- Additional support to achieve compliance being provided by organisation
- CouncilMark #27. BCA Accreditation Improvement
- Outsourced technical and quality systems support
- A proportion of building consent processing and inspections are outsourced to provide capacity and capability
- Appointment acting Quality Manager until permanent appointment made
- Actively recruiting building officers

Where are the gaps? / what more could we be doing?

Future proof to manage this risk following Sept/Oct audit

- Resource modelling

Inherent Risk:	Trend	Residual Risk:	Accountable:	CEO	Date raised:	Jan 19	Report frequency:
	—		Responsible:	GM DS	Date accepted:	30/05/19	Monthly SLT/ARF/Council