

Organisational Risk Impact and Likelihood Statements

	Financial	FNDC Customer (ratepayer, resident and/or other occupant)		Reputation	Compliance / Legal	Health & Safety																													
Impact if risk is left “as is”:	Impact on Budget, Department or Team; and /or Impact on annual Council budget in \$	Financial impact to customer	Customer impact due to loss of a provision of essential service, or essential piece of infrastructure	The impact on FNDC’s reputation	The legal impact on FNDC’s legal adherence / regulatory compliance	The impact to people(s) health & safety arising from FNDC operations or a situation where FNDC are liable																													
High to Intolerable	<ul style="list-style-type: none">>10% of project or departmental budget;>\$100,000 OPEX pa impact to Council budget; and/or≥ 0.3% rates increase	>\$10k	<table><tr><td rowspan="5">People (Far North population)</td><td>> 1000</td><td></td><td></td><td></td></tr><tr><td>6 to 1000</td><td></td><td></td><td></td></tr><tr><td><6</td><td></td><td></td><td></td></tr><tr><td></td><td><12</td><td>12-24</td><td>>24</td></tr><tr><td colspan="4">Hours (delay)</td></tr></table> <table><tr><th colspan="2">Key to impact</th></tr><tr><td>Intolerable</td><td></td></tr><tr><td>Medium</td><td></td></tr><tr><td>Low to None</td><td></td></tr></table>	People (Far North population)	> 1000				6 to 1000				<6					<12	12-24	>24	Hours (delay)				Key to impact		Intolerable		Medium		Low to None		<ul style="list-style-type: none">External reputation severely damaged, considerable effort and expense required to recover e.g.<ul style="list-style-type: none">2-5 years to re-establish confidence;Loss of national trust & confidence including government such as:<ul style="list-style-type: none">Trending presence in national and international media; and/or> 15% staff turnover pa and/or turnover of organisational critical roles	<ul style="list-style-type: none">FNDC get challenged and are found to be non-compliant with :<ul style="list-style-type: none">appointment of a commissioner under the LGA;criminal conviction and/or fines, penalties; orlegal exposure in excess of \$500,000; and/orContract: termination of contract (breach / default etc.)	<p>Any loss of life</p> <p>Serious injury / disability (as defined by the Act) to one or more lives and/or Public Health outcome</p>
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Medium	<ul style="list-style-type: none">1% - 10% of project or departmental budget; and/or\$50,000 to \$100,000 OPEX pa impact to Council budget and/or0.1 to 0.3% rates increase	\$5k - \$10k	<ul style="list-style-type: none">External reputation damaged, effort and expense is required to recover; and/orLoss of regional trust & confidence including iwi, funding partners and elected members such as:<ul style="list-style-type: none">Presence in regional/local media only; and/or1-2 years to re-establish confidence.10- 15% staff turnover pa with “normal” turnover of organisational critical roles	<ul style="list-style-type: none">FNDC get challenged and are found to be non-compliant with<ul style="list-style-type: none">fines, penalties or legal exposure <\$500,000Contract: receive written notice from the contractor threatening termination if not rectified	Minor harm and/or isolated recoverable illness																														
Low to None	<ul style="list-style-type: none">< 1% of project or departmental budget; and/or<\$50,000 OPEX pa impact to Council budget and/or<0.1 rates increase	<5k	<ul style="list-style-type: none">External reputation minimally affected, little effort or expense required to recover; and/orLoss of stakeholder trust & confidence at local level<ul style="list-style-type: none">Presence in local media only; and/or<1 year to re-establish confidence.<10% staff turnover pa	<ul style="list-style-type: none">Might be challenged or threat of litigation:<ul style="list-style-type: none">but are compliant; orhave the appetite to tolerate non-compliance cost (treating exceeds legal penalty we could receive.)Contract: receive verbal advice that, if breaches continue a default notice may be issued; or results in meeting between two parties in which contractor expresses concern																															

Probability:	
Will happen	The risk is likely to occur. There is frequent exposure to the risk. There are external influences that make managing this risk ineffective.
Probable	The risk will possibly occur e.g. once in every 2 year event There is an exposure to the risk. There are external influences that make managing this risk difficult.
Unlikely	The risk is unlikely to occur e.g. once in every 10 year event There is a low exposure to the risk.

Score by impact and likelihood			
High to Intolerable	5	7	9
Medium	3	5	7
Low to None	1	3	5
	Unlikely	Probable	Will happen